

MBP-005XX

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 36 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/786,435

PRIORITY DATE CLAIMED

3 September 1998

INTERNATIONAL APPLICATION NO.

PCT/EP99/06433

INTERNATIONAL FILING DATE

1 September 1999

TITLE OF INVENTION USE OF TGF-BETA INHIBITORS FOR TREATING CEREBRAL DISORDERS

APPLICANT(S) FOR DO/EO/US Kerstin Kriegelstein

\* Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

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Express Mail Number

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>09/786.435</b>	INTERNATIONAL APPLICATION NO <b>PCT/EP99/06433</b>	ATTORNEY'S DOCKET NUMBER <b>MBP-005XX</b>
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17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO <span style="float: right;"><b>\$1,000.00</b></span>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO <span style="float: right;"><b>\$860.00</b></span>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO <span style="float: right;"><b>\$710.00</b></span>  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) <span style="float: right;"><b>\$690.00</b></span>  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) <span style="float: right;"><b>\$100.00</b></span>  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>	<b>CALCULATIONS</b> <span style="float: right;">PTO USE ONLY</span>																
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	<div style="border: 1px solid black; padding: 2px;">\$ 130.00</div>																
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:25%;">CLAIMS</th> <th style="width:25%;">NUMBER FILED</th> <th style="width:25%;">NUMBER EXTRA</th> <th style="width:25%;">RATE</th> </tr> <tr> <td>Total claims</td> <td style="text-align: center;">- 20 =</td> <td></td> <td>X <b>\$18.00</b></td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">- 3 =</td> <td></td> <td>X <b>\$80.00</b></td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+<b>\$270.00</b></td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	- 20 =		X <b>\$18.00</b>	Independent claims	- 3 =		X <b>\$80.00</b>	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ <b>\$270.00</b>	<div style="border: 1px solid black; padding: 2px;">\$</div>
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<b>TOTAL OF ABOVE CALCULATIONS =</b>	<div style="border: 1px solid black; padding: 2px;">\$ 130.00</div>																
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	<div style="border: 1px solid black; padding: 2px;">\$</div>																
<b>SUBTOTAL =</b>	<div style="border: 1px solid black; padding: 2px;">\$ 130.00</div>																
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	<div style="border: 1px solid black; padding: 2px;">\$</div>																
<b>TOTAL NATIONAL FEE =</b>	<div style="border: 1px solid black; padding: 2px;">\$ 130.00</div>																
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property	<div style="border: 1px solid black; padding: 2px;">\$ 40.00</div>																
<b>TOTAL FEES ENCLOSED =</b>	<div style="border: 1px solid black; padding: 2px;">\$ 170.00</div>																
	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:80%;"><b>Amount to be refunded:</b></td> <td style="width:20%;">\$</td> </tr> <tr> <td><b>charged:</b></td> <td>\$</td> </tr> </table>	<b>Amount to be refunded:</b>	\$	<b>charged:</b>	\$												
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a. ☒ A check in the amount of \$ 130.00 to cover the above fees is enclosed. A check in the amount of \$ 40.00 is enclosed for the assignment recordation fee.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0804. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

  

**Customer Number 207**

SEND ALL CORRESPONDENCE TO:

Weingarten, Schurgin, Gagnebin & Hayes LLP  
 Ten Post Office Square  
 Boston, Massachusetts 02109

Date: 3-20-01

SIGNATURE  


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 Charles L. Gagnebin III  
 NAME  


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 25,467  
 REGISTRATION NUMBER